

ASN.1: abstract syntax

György RÉTHY, János Zoltán SZABÓ
Test Competence Center, Ericsson Hungary

Gusztáv ADAMIS

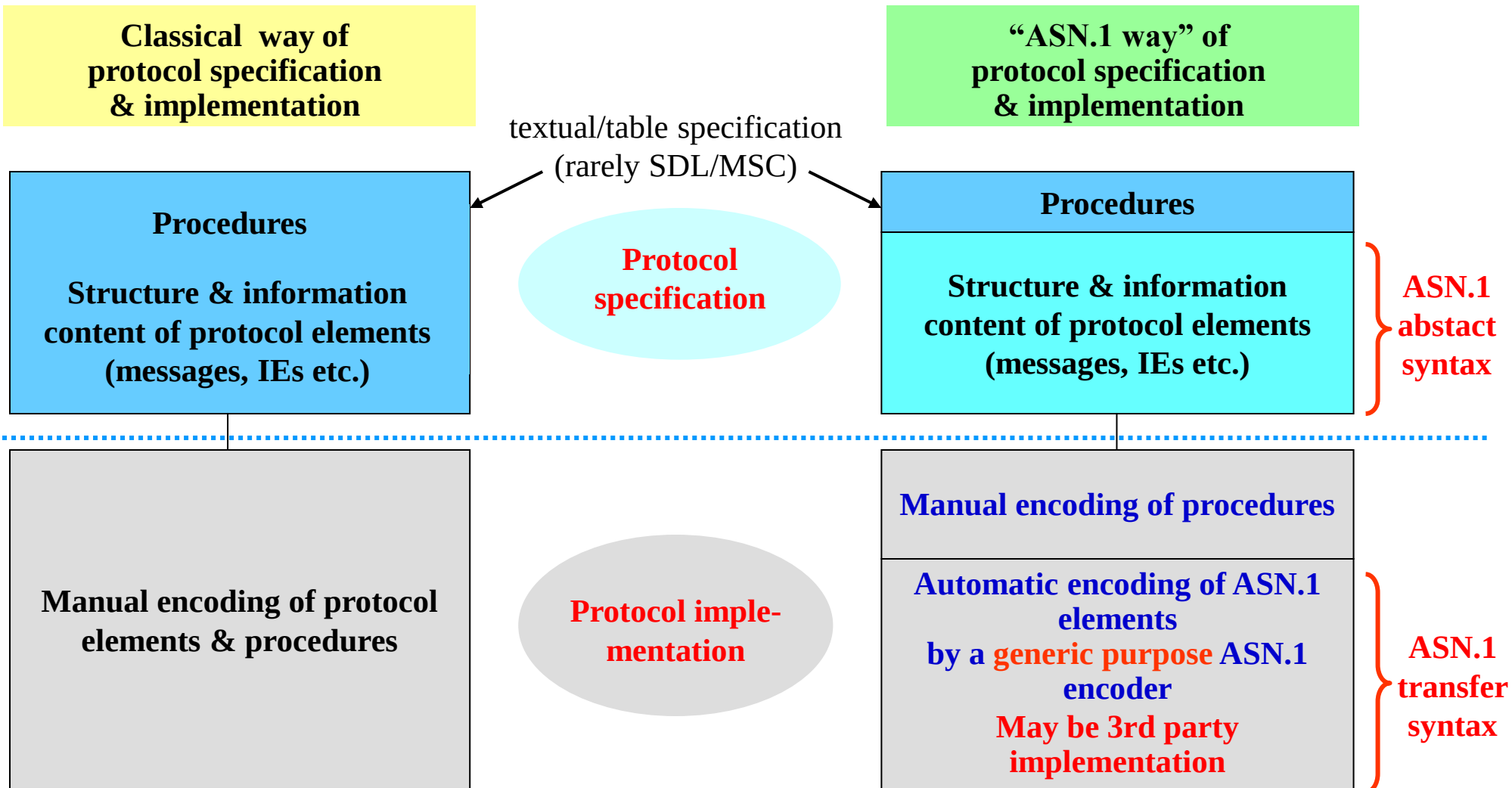
BME TMIT

adamis@tmit.bme.hu

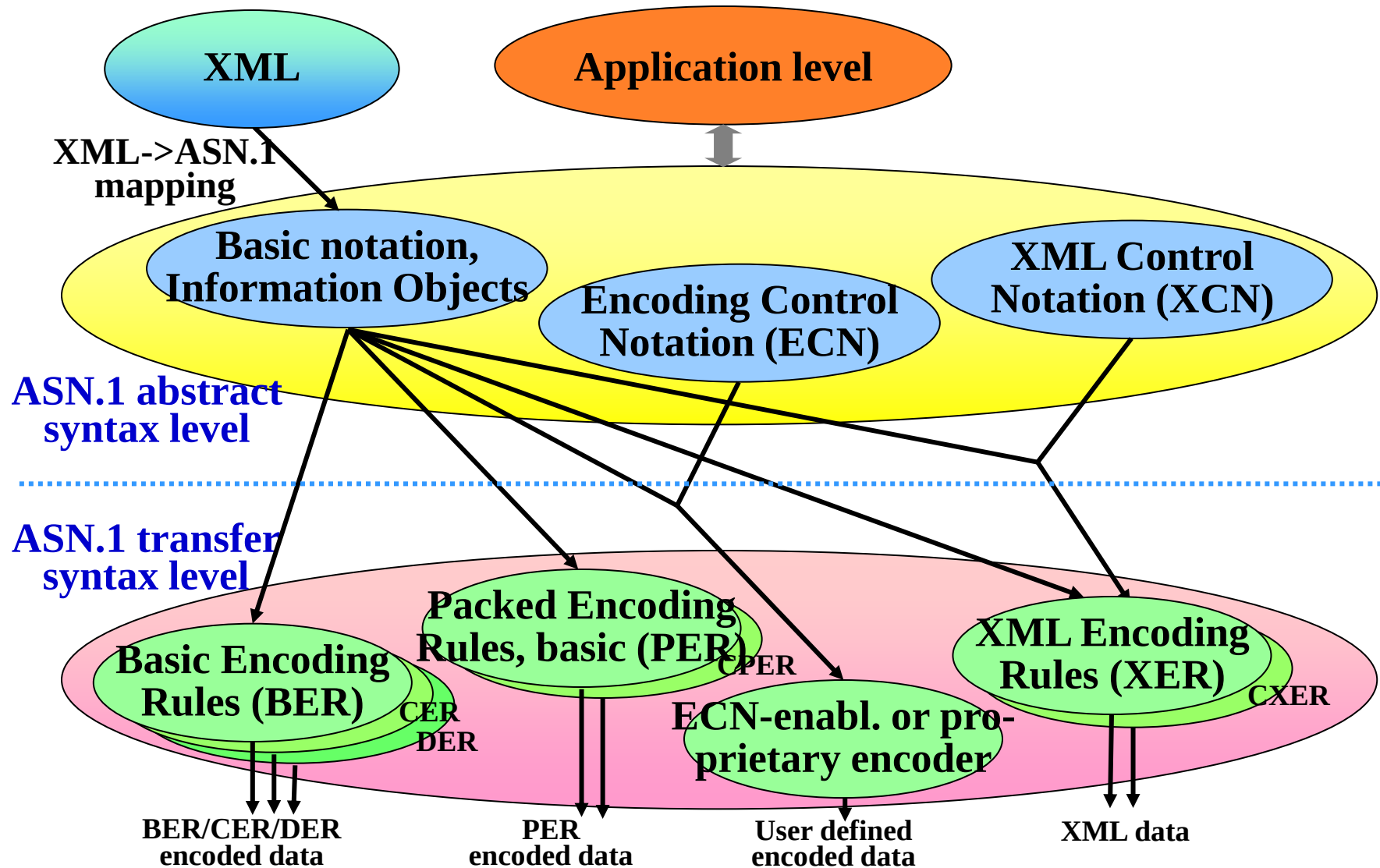
Friday, March 13, 2015

ASN.1 abstract syntax

INTRODUCTION



Structure of ASN.1



ASN.1 - 1997 (multipart) version - 1

X.680

WILL BE SUPERSEDED SOON!

Recommendation X.680 (12/97) - Information technology - Abstract Syntax Notation One (ASN.1): Specification of basic notation

Corrigendum 1 (06/99) to Recommendation X.680

Corrigendum 2 (03/00) to Recommendation X.680

Amendment 1 (06/99) to Recommendation X.680 - Relative object identifiers

Amendment 2 (06/99) to Recommendation X.680 - ASN.1 semantic model

Corrigendum 3 (02/01) to Recommendation X.680

Erratum to Corrigendum 3 (05/01) to Recommendation X.680

Corrigendum 4 (03/01) to Recommendation X.680

X.681

Recommendation X.681 (12/97) - Information technology - Abstract Syntax Notation One (ASN.1): Information object specification

Corrigendum 1 (06/99) to Recommendation X.681

Amendment 1 (06/99) to Recommendation X.681 - ASN.1 semantic model

ASN.1 - 1997 (multipart) version - 2

WILL BE SUPERSEDED SOON!

X.682

Recommendation X.682 (12/97) - Information technology - Abstract Syntax

Notation One (ASN.1): Constraint specification

Corrigendum 1 (03/00) to Recommendation X.682

Corrigendum 2 (02/01) to Recommendation X.682

Corrigendum 3 (03/01) to Recommendation X.682

X.683

Recommendation X.683 (12/97) - Information technology - Abstract Syntax

Notation One (ASN.1): Parameterization of ASN.1 specifications

Amendment 1 (06/99) to Recommendation X.683 - ASN.1 semantic model

Recommended reading

- John Larmouth, *ASN.1 Complete*, Elsevier-Morgan Kaufmann, 1999
 - Downloadable PDF version: <http://www.oss.com/asn1/larmouth.html>
- Olivier Dubuisson, *ASN.1 - Communication between heterogeneous systems*, Elsevier-Morgan Kaufmann, 2000
 - Downloadable PDF version: <http://www.oss.com/asn1/dubuisson.html>

ASN.1 abstract syntax

CONTENTS

1. Basic conventions

2. Built-in ASN.1 types (basics of tagging and extensibility)

NULL, BOOLEAN, INTEGER, BIT STRING, OCTET STRING, ENUMERATED, SEQUENCE (OF), SET (OF), CHOICE, Character string types, time types, REAL, ANY & other hole types: EMBEDDED PDV, EXTERNAL, Unrestricted character string

3. Tagging - additions

Types of tags, IMPLICIT, EXPLICIT, AUTOMATIC tagging, tagging rules

4. Subtyping & constraints

Single value, value range, size constraint, type constraint, permitted alphabet, contained subtype, inner subtyping

5. Extensibility - further rules

ASN.1 model of extensions, extension marker, exception identifier, extensibility rules, version brackets

ASN.1 abstract syntax

Naming conventions -1

- Characters used in names

A to Z (*latin capital*)

a to z (*latin small*)

0 to 9 (*digits*)

“_” (*hyphen*)

- Naming rules

- General rules:

- first character is never a digit or hyphen

- last character is never a hyphen

- hyphen shall not be followed by another hyphen

- First character is a capital letter for:

- Type name (*typereference*)

- Module name

- Information object set name (*objectsetreference = typereference*)

ASN.1 abstract syntax

Naming conventions -2

● Naming rules (cont.)

- First character is a small letter for:
 - value name (*valuereference*)
 - identifier (component, named value, enumeration etc.)
 - information object name (*objectreference* = *valuereference*)
- ALL CAPITAL letters used in:
 - Information object class name (*objectclassreference*)
- First character is an ampersand (&):
 - Information object class fields names
 - than *typereference* for type field, value set field, object set field
 - valuereference* for value field, object field
- Word (in user defined syntax for an information object class):
 - ALL capital letters & no digits

ASN.1 abstract syntax

White-space & newline

- White-space may contain:
 - HORIZONTAL TABULATION (9)
 - SPACE (32)
 - LINE FEED (10)
 - VERTICAL TAB (11)
 - FORM FEED (12)
 - CARRIAGE RETURN (13)
- Newline may contain:
 - LINE FEED (10)
 - VERTICAL TAB (11)
 - FORM FEED (12)
 - CARRIAGE RETURN (13)

ASN.1 abstract syntax

ABSENT	<u>ENCODED</u>
ABSTRACT-SYNTAX	END
ALL	ENUMERATED
APPLICATION	EXCEPT
AUTOMATIC	EXPLICIT
BEGIN	EXPORTS
BIT	EXTENSIBILITY
BMPString	EXTERNAL
BOOLEAN	FALSE
BY	FROM
CHARACTER	GeneralizedTime
CHOICE	GeneralString
CLASS	GraphicString
COMPONENT	IA5String
COMPONENTS	IDENTIFIER
CONSTRAINED	IMPLICIT
<u>CONTAINING</u>	IMPLIED
DEFAULT	IMPORTS
DEFINITIONS	INCLUDES
EMBEDDED	

Reserved words

INSTANCE	<u>RELATIVE-OID</u>
INTEGER	SEQUENCE
INTERSECTION	SET
ISO646String	SIZE
MAX	STRING
MIN	SYNTAX
MINUS-INFINITY	T61String
NULL	TAGS
NumericString	TeletexString
OBJECT	TRUE
ObjectDescriptor	TYPE-IDENTIFIER
OCTET	UNION
OF	UNIQUE
OPTIONAL	UNIVERSAL
<u>PATTERN</u>	UniversalString
PDV	UTCTime
PLUS-INFINITY	UTF8String
PRESENT	VideotexString
PrintableString	VisibleString
PRIVATE	WITH
REAL	

ASN.1 abstract syntax

Example (fields are separated by white-space)

Module-Name { *<optional object identifier>* }

DEFINITIONS

{
 EXPLICIT TAGS -- or
 IMPLICIT TAGS -- or
 AUTOMATIC TAGS }
 }

EXTENSIBILITY IMPLIED

::=

BEGIN

EXPORTS *<comma separated list>* ;

IMPORTS *<comma separated list>* FROM *<module identifier>* } -- optional
 <comma separated list> FROM *<module identifier>* ; }

<assignments>

-- definitions in the module body

END

Module definition

-- name is mandatory

-- mandatory keyword

optional (see later)

-- optional

-- mandatory keyword

-- mandatory keyword

-- optional

ASN.1 abstract syntax

Assignments

- Type

UpperIdentifier ::= <type>

- Value

lowerIdentifier <type> ::= <value>

- Value set

UpperIdentifier <type> ::= <valueset>

- Information object class

FULLUPPERIDENTIFIER ::= CLASS { ... } | CLASSNAME

- Information object

lowerIdentifier CLASSNAME ::= <object>

- Information object set

UpperIdentifier CLASSNAME ::= <objectset>

ASN.1 abstract syntax

CONTENTS

1. Basic conventions, ASN.1 module definition

2. Built-in ASN.1 types

NULL, BOOLEAN, INTEGER, BIT STRING, OCTET STRING,
ENUMERATED, SEQUENCE (OF), SET (OF), CHOICE, selection type,
Character string types, REAL, Hole types: ANY, EMBEDDED PDV,
EXTERNAL, Unrestricted character string

ASN.1 abstract syntax

NULL, BOOLEAN

● NULL

- The only information it carries: was it SENT or NOT

Type-1 ::= NULL

value-1 NULL ::= NULL

● BOOLEAN

- Logical variable or constant

Type-2 ::= BOOLEAN

value-2 BOOLEAN ::= TRUE -- FALSE

ASN.1 abstract syntax

INTEGER

● INTEGER

- positive, negative integer number or (+) 0
- named numbers (does NOT restrict assignment of other values)

Sample1 ::= INTEGER { zero (0), one (1), other (8) }

value-3 Sample1 ::= zero

-- *value is zero*

value-4 Sample1 ::= 5

-- *"- 0" is illegal*

ASN.1 abstract syntax

BIT STRING

- Sequence of individual bits

b1 BIT STRING ::= '000101110011010'B

b2 BIT STRING ::= 'F0F0'H --> '1111000011110000'B

- Named bit list

- Just a name of the position: does not restricts the length and value of the string

B1 ::= BIT STRING { first (0), second (1), trailing (6) }

b3 B1 ::= '001001100011'B

- Does not specify trailing zeros (can be added or removed at coding)

b4 B1 ::= { first, trailing } --> '1000001'B
 --> '100000100'B
 --> '10000010000'B

b5 B1 ::= { } --> any number of '0's

b6 B1 ::= 'AA'H --> '10101010'B

ASN.1 abstract syntax

OCTET STRING

- Sequence of individual octets

- value notation using hstring

missing hex character is interpreted as zero

o1 OCTET STRING ::= '278EF'H --> '278EF0' H

most significant less significant

- value notation using bstring

missing bits are interpreted as zero

o2 OCTET STRING ::= '0001011011101'B --> '16E8'H

ASN.1 abstract syntax

ENUMERATED

● ENUMERATED: List of named items

- ==>> from ASN.1 abstract syntax point of view has no numeric value !

E1 ::= ENUMERATED { first, second, third, fourth, fifth }



- At **coding** an integer value is assigned: starting at “0”, step 1
- The value to be coded can be specified: ‘hardcoded’ values jumped over in automatic numbering

E2 ::= ENUMERATED { first, second, third(5), fourth, fifth(1) }



- Numeric value is NOT ALLOWED to be referenced within ASN.1!

e1 E1 ::= first

-> valid

e2 E1 ::= ~~0~~

-> invalid

ASN.1 abstract syntax

ENUMERATED, extension

● The problem:

Transmitter (version 2)

```
ENUMERATED {
  yourIncome,
  yourDebit,
  accountClosed,
  accountReopened
}
```

added in version 2

sent:
ENUMERATED:3

Receiver(version 1)

```
ENUMERATED {
  yourIncome, yourDebit,
  accountClosed
}
```

Received: ???
(decoding error)

● Solution: indicate possible additions in the type definition

```
ENUMERATED {
  yourIncome,
  yourDebit,
  accountClosed, ...,
  accountReopened
}
```

called ellipsis

sent:
ENUMERATED:3

insertion point:
identified by the ellipsis

(additional enumerations can be inserted here, following the comma)

```
ENUMERATED {
  yourIncome, yourDebit,
  accountClosed, ...
}
```

Received: added value
(no error, call local
exception handling proc.)

ASN.1 abstract syntax

Rules to extend ENUMERATED

- All values - root and additional - shall be **unique**
 - A ::= ENUMERATED { a, b, ... , c(0) } --> *invalid as a = c*
 - B ::= ENUMERATED { a, b, ... , c(2) } --> *valid*
- Values of additional enumerations shall monotonously **increase**
 - C ::= ENUMERATED { a, b, ... , c, d(2) } --> *invalid as c = d*
 - D ::= ENUMERATED { a, b, ... , c(3), d(2) } --> *invalid, it should be c < d*
 - E ::= ENUMERATED { a, b, ... , c(2), d(3) } --> *valid*
- First additional “automatic” value will be the **smallest not used** value in the root
 - F ::= ENUMERATED { a, b, c(0), ... , d, e } --> *d=3, e=4 (c=0, a=1, b=2)*
 - G ::= ENUMERATED { a, b, c(10), ... , d, e } --> *d=2, e=3 (a=0, b=1, c=10)*
 - H ::= ENUMERATED { a, b, c(0), ... , d, e, f(4) } --> *invalid as e = f*

The numeric value assigned to an enumeration has NO significance within the abstract syntax !

ASN.1 abstract syntax

SEQUENCE

- SEQUENCE: ordered group of types
 - Sending order of “contained” types (components) is determined by the **textual order** in the ASN.1 spec.
 - Each component consist of a unique (within the type) **identifier** and a **Type** => called a “**named type**”
 - Components may be mandatory, optional or have a default value (default: a mandatory information not necessarily sent on the line)

```

S1 ::= SEQUENCE { first      INTEGER,
                   second    BIT STRING,
                   third      OCTET STRING  OPTIONAL,
                   fourth     BOOLEAN      DEFAULT TRUE }

```

- It can be empty, i.e. without components:

```

S2 ::= SEQUENCE {}

```

ASN.1 abstract syntax

Tagging example -1

● The problem:

Transmitter

```
SEQUENCE {
  yourIncome    INTEGER OPTIONAL,
  yourDebit     INTEGER OPTIONAL,
  accountClosed BOOLEAN
}
```

sent:

INTEGER:5000,
BOOLEAN:FALSE

Receiver

Is **yourIncome**
OR
yourDebit
received?

● Solution: add a distinguishing label

```
SEQUENCE {
  yourIncome    [0] INTEGER OPTIONAL,
  yourDebit     INTEGER OPTIONAL,
  accountClosed BOOLEAN
}
```

sent:

0+INTEGER:5000,
BOOLEAN FALSE

Be happy:
it is
yourIncome !

ASN.1 abstract syntax When tags shall be distinct - SEQUENCE

● In a SEQUENCE

- Components following an **OPTIONAL** or **DEFAULT** component shall have distinct tags up to the first mandatory component (including alternatives of all referenced **CHOICES**!)

F ::= SEQUENCE { first INTEGER,
 second INTEGER,
 third INTEGER OPTIONAL,
 fourth INTEGER } --> invalid

G ::= SEQUENCE { first INTEGER,
 second INTEGER,
 third [0] INTEGER OPTIONAL,
 fourth OCTET STRING } --> valid

H ::= SEQUENCE { first INTEGER,
 second INTEGER,
 third [0] INTEGER OPTIONAL,
 fourth [1] INTEGER } --> valid

ASN.1 abstract syntax

SEQUENCE - additions

● COMPONENTS OF

- **transports** components of another SEQUENCE: for **BER** coded signals **saves the header** of the referenced SEQUENCE (!)

```
ParentSeq ::= SEQUENCE { first      INTEGER      OPTIONAL,
                          second    ENUMERATED { one, two }
                                      DEFAULT one  }
```

```
NewSeq    ::= SEQUENCE { COMPONENTS OF ParentSeq,
                          new      INTEGER      }
```

- Identifiers in the parent type and in the new type shall be **different**
- Before coding the new type is created by a **COMPONENTS OF transformation**: components of the referenced type are inserted into the new type
- any constraint to the referenced (outer SEQUENCE) type is ignored

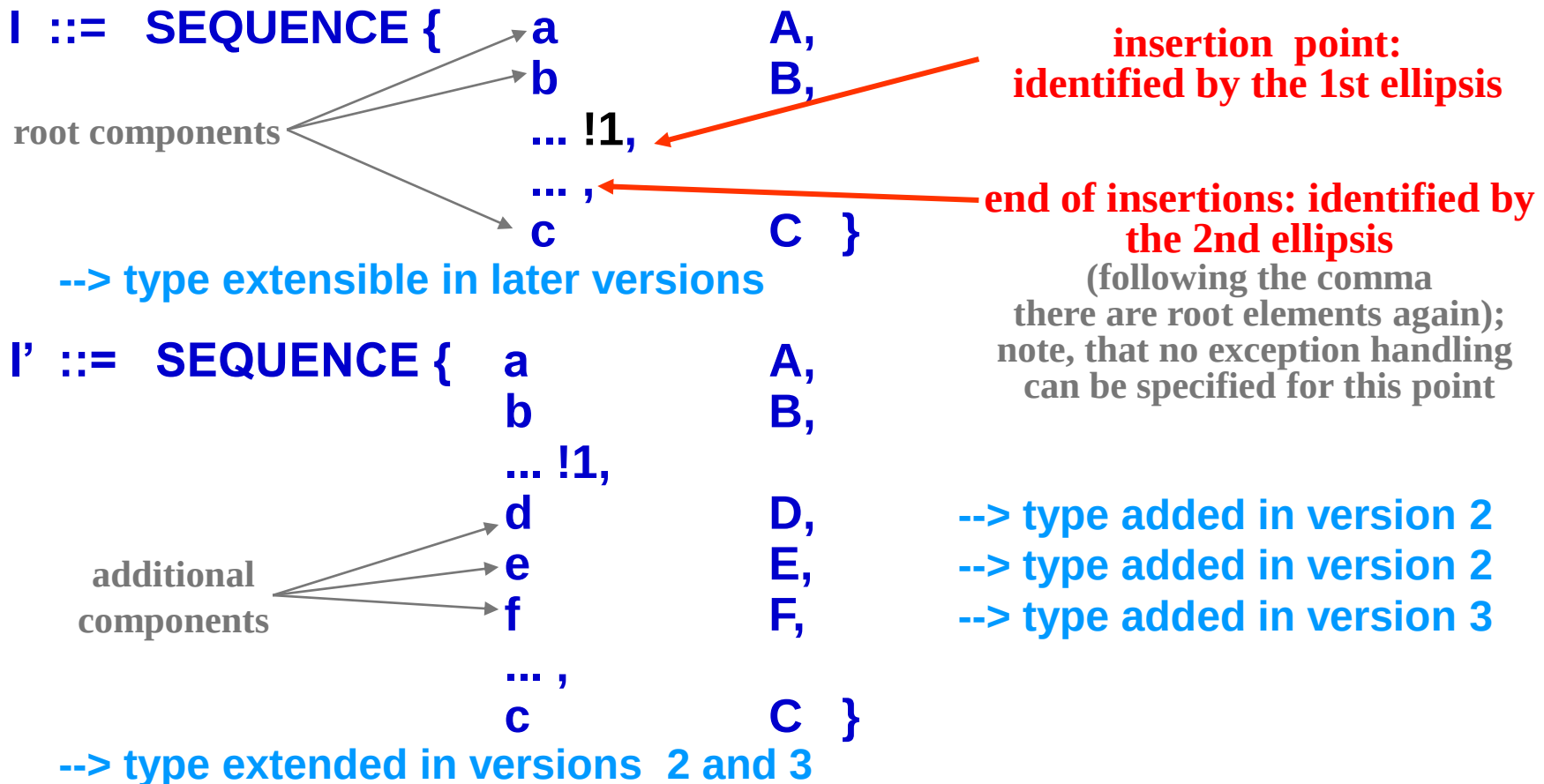
```
NewSeq => SEQUENCE { first      INTEGER      OPTIONAL,
                      second    ENUMERATED { one, two }
                                      DEFAULT one ,
                      new      INTEGER      }
```

ASN.1 abstract syntax

Extension of a SEQUENCE

- The general case: type extensible at the middle of root components

Example:

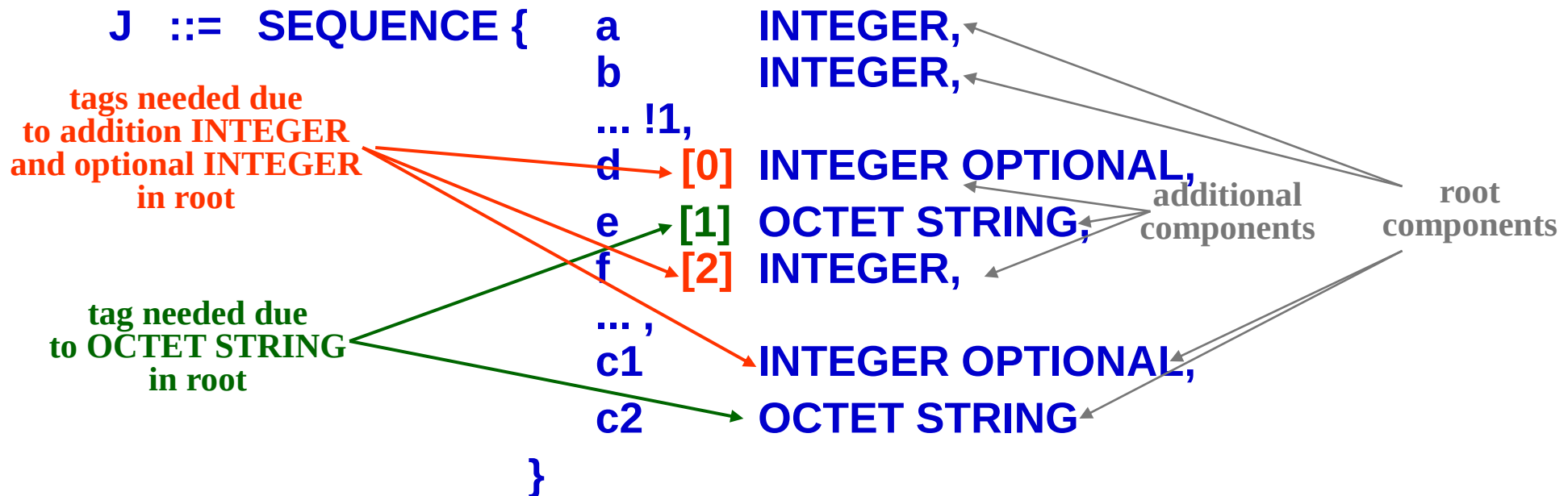


ASN.1 abstract syntax

Rules for extended SEQUENCE

- All additional types up to and including the first mandatory root component shall have distinct tags

Example (non-automatic tagging is supposed!)

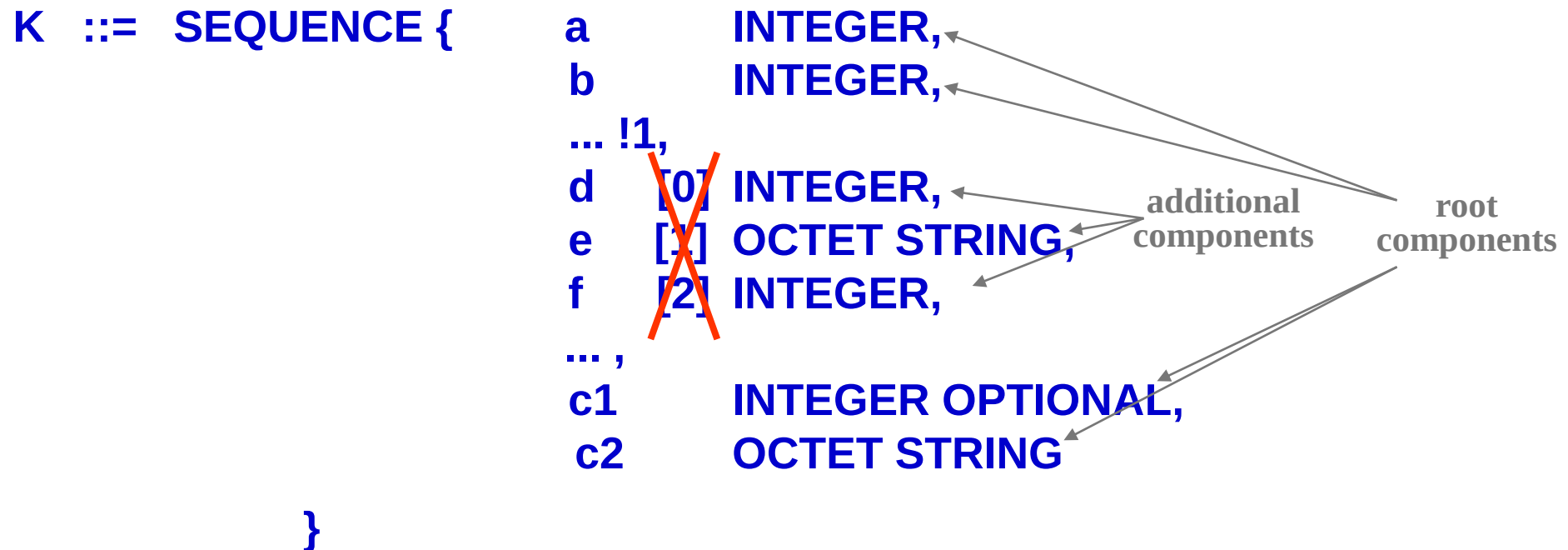


ASN.1 abstract syntax

Rules for extended SEQUENCE

- In automatic tagging environment, if none of the root components is a tagged type, the additional components **shall not** be tagged types

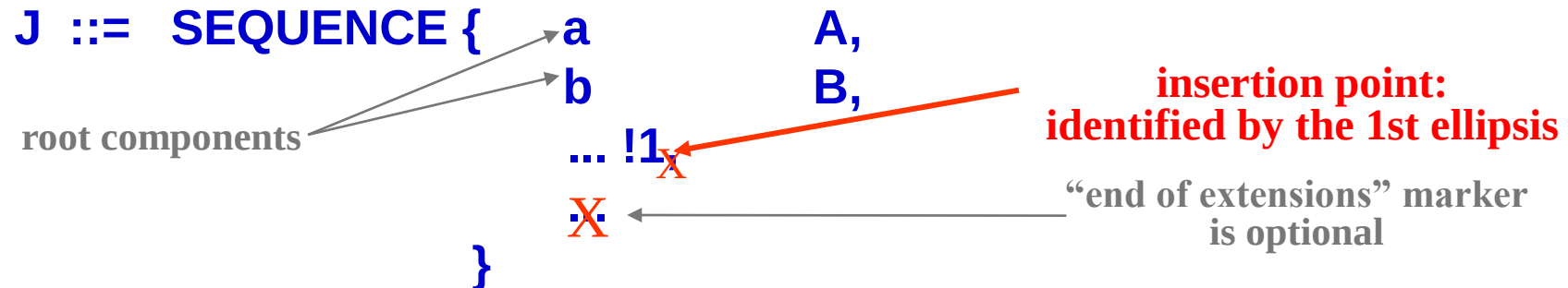
Example (automatic tagging is supposed!)



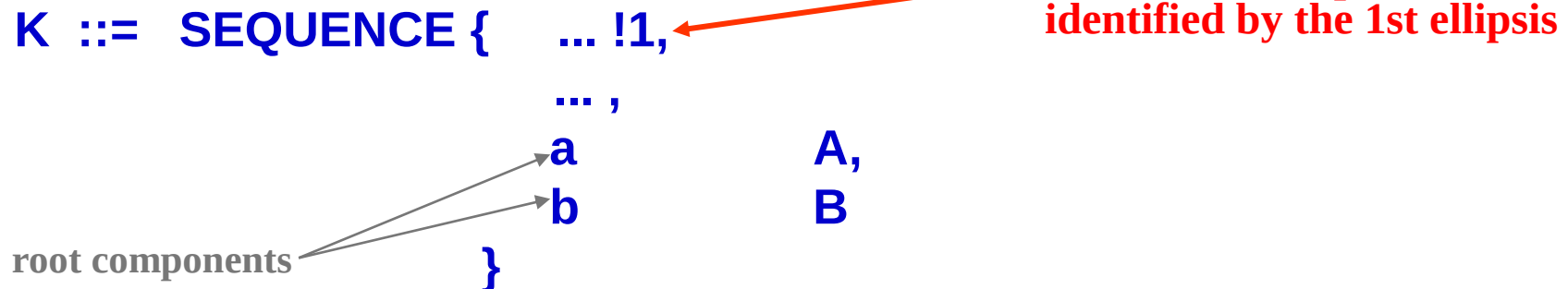
ASN.1 abstract syntax

Extension of a SEQUENCE

- Type extensible at the end of root components



- Type extensible at the beginning



ASN.1 abstract syntax

SET

- SET: similar to SEQUENCE but unordered
 - Syntax is the same (including extension) but additional rules apply:
 1. Sending order of components is undetermined

S3 ::= SET { first INTEGER, second OCTET STRING, fourth BOOLEAN, OPTIONAL, DEFAULT TRUE }

ASN.1 abstract syntax

SET

- 2. **all components**, root and additional, shall have distinct tags (including alternatives of all referenced CHOICES!)

I ::= SET	{ first	INTEGER,	
	second	INTEGER,	
	third [0]	INTEGER OPTIONAL }	--> invalid

J ::= SET	{ first	INTEGER,	
	second [1]	INTEGER,	
	third [0]	INTEGER OPTIONAL }	--> valid

K ::= SET	{ first	INTEGER,	
	second [0]	INTEGER OPTIONAL,	
	third	OCTET STRING }	--> valid

I' ::= SET	{first	[0]	INTEGER,
	second		INTEGER,
	...,		
	third		INTEGER }
			--> invalid

ASN.1 abstract syntax

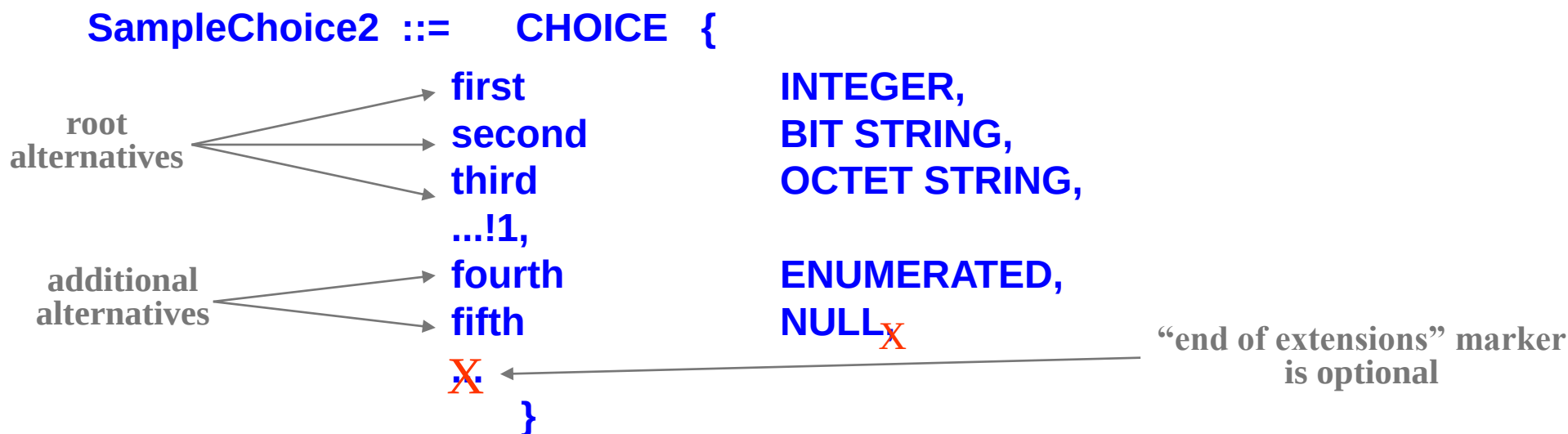
CHOICE

● Set of alternative types

- Each alternative shall be marked by a unique (within this type) **identifier**

```
SampleChoice1 ::= CHOICE {
    first      INTEGER,
    second    BIT STRING,
    third     OCTET STRING
}
```

- Additional alternatives can be inserted following the root alternatives only:



ASN.1 abstract syntax

Tagging example -2

● The problem:

Transmitter

```
CHOICE{
yourIncome    INTEGER,
accountClosed BOOLEAN,
yourDebit     INTEGER
}
```

sent:
INTEGER:5000

Receiver

Is **yourIncome**
OR
yourDebit
received?

● Solution: add a distinguishing label

```
CHOICE{
yourIncome [0] INTEGER,
accountClosed BOOLEAN,
yourDebit   INTEGER
}
```

sent:
0+INTEGER:5000

Be happy:
it is
yourIncome !

ASN.1 abstract syntax

When taggs shall be distinct - CHOICE

● In a CHOICE

- all alternatives - including **all alternatives of all referenced CHOICE(s)!** - shall have distinct tags

I ::= CHOICE {	first	INTEGER,	
	second	INTEGER }	--> invalid

J ::= CHOICE {	first	INTEGER,	
	second [0]	INTEGER }	--> valid

K ::= CHOICE {	first	INTEGER,	
	second [0]	FirstChoice }	--> invalid (see tags first & a)

L ::= CHOICE {	first	FirstChoice,	
	second	SecondChoice }	--> invalid (see tags a & c --> and also tags b & d)

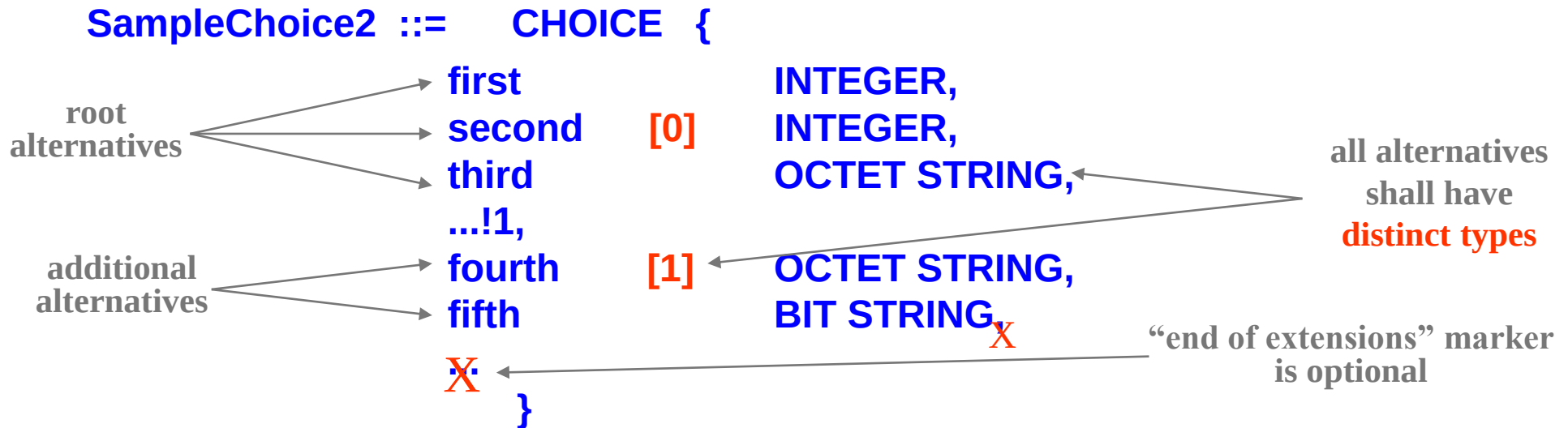
FirstChoice	::= CHOICE {	a [0] INTEGER ,	b [1] INTEGER }	--> valid
-------------	--------------	-----------------	-----------------	-----------

SecondChoice	::= CHOICE {	c [0] INTEGER ,	d [1] INTEGER }	--> valid
--------------	--------------	-----------------	-----------------	-----------

ASN.1 abstract syntax

CHOICE

- All alternatives (root and additional) shall have distinct tags:



ASN.1 abstract syntax

Version brackets

- Grouping of elements added in a given version
 - can be used in SEQUENCE, SET and CHOICE, **NOT** in ~~ENUMERATED~~

```
Sample ::= SEQUENCE {
    a  A,
    b  B,
    ...,
    [[ c  C,
       d  D ]], -- stuff added in version 2
    ... }        -- version 2 specification
```

```
Sample ::= SEQUENCE {
    a  A,
    b  B,
    ...,
    [[ c  C,
       d  D ]], -- stuff added in version 2
    [[ e  E,
       f  F ]], -- stuff added in version 3
    ... }        -- version 3 specification
```

ASN.1 abstract syntax

Selection type

- Permanently selects one alternative of a CHOICE

- Applicable to CHOICE parent types only
- Both type and value notations always includes the identifier of the selected alternative

MySeq ::= SEQUENCE{

a
b
}

INTEGER,
chosen < MyChoice

where

MyChoice ::= CHOICE {

chosen
other
another
}

this whole denotes the
type OCTET STRING

OCTET STRING,
BIT STRING,
INTEGER

myValueForMySeq MySeq ::= {

a
b
}

identifier of the selected alternative is not present!

5,
'AA'H

ASN.1 abstract syntax

SEQUENCE OF and SET OF

- **SEQUENCE OF, SET OF: repeated values of a single type**
 - SEQUENCE OF: sending order of values has **semantic significance**
 - SET OF: sending order of values does **NOT** have semantic significance
 - Each component is marked by an **identifier** (mandatory)
 - Components may be mandatory, optional or have a default value (default: a mandatory information not necessarily sent on the line)

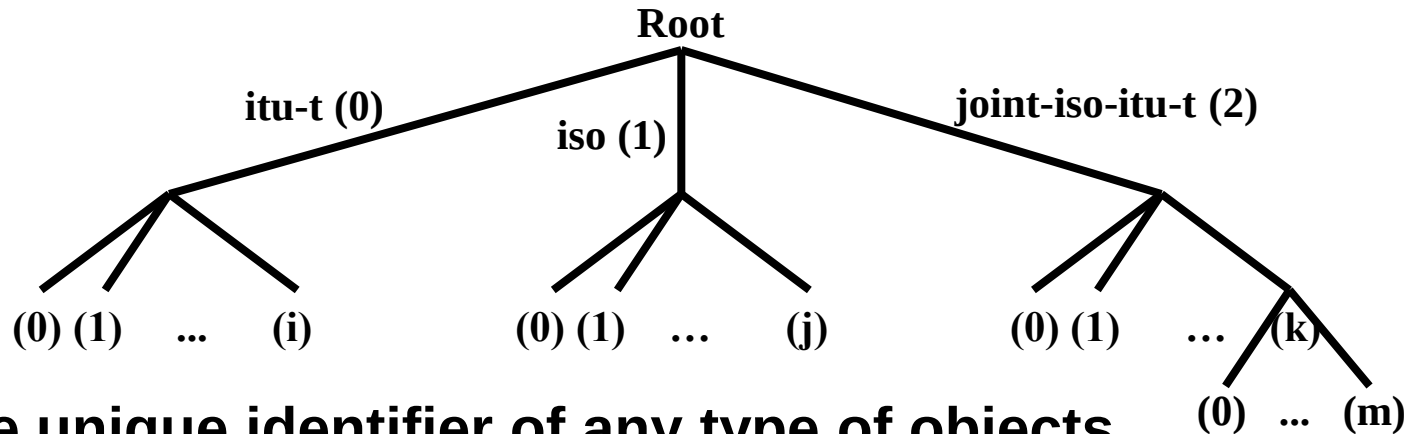
S1 ::= SEQUENCE OF AnotherType

where AnotherType ::= INTEGER -- or another definition

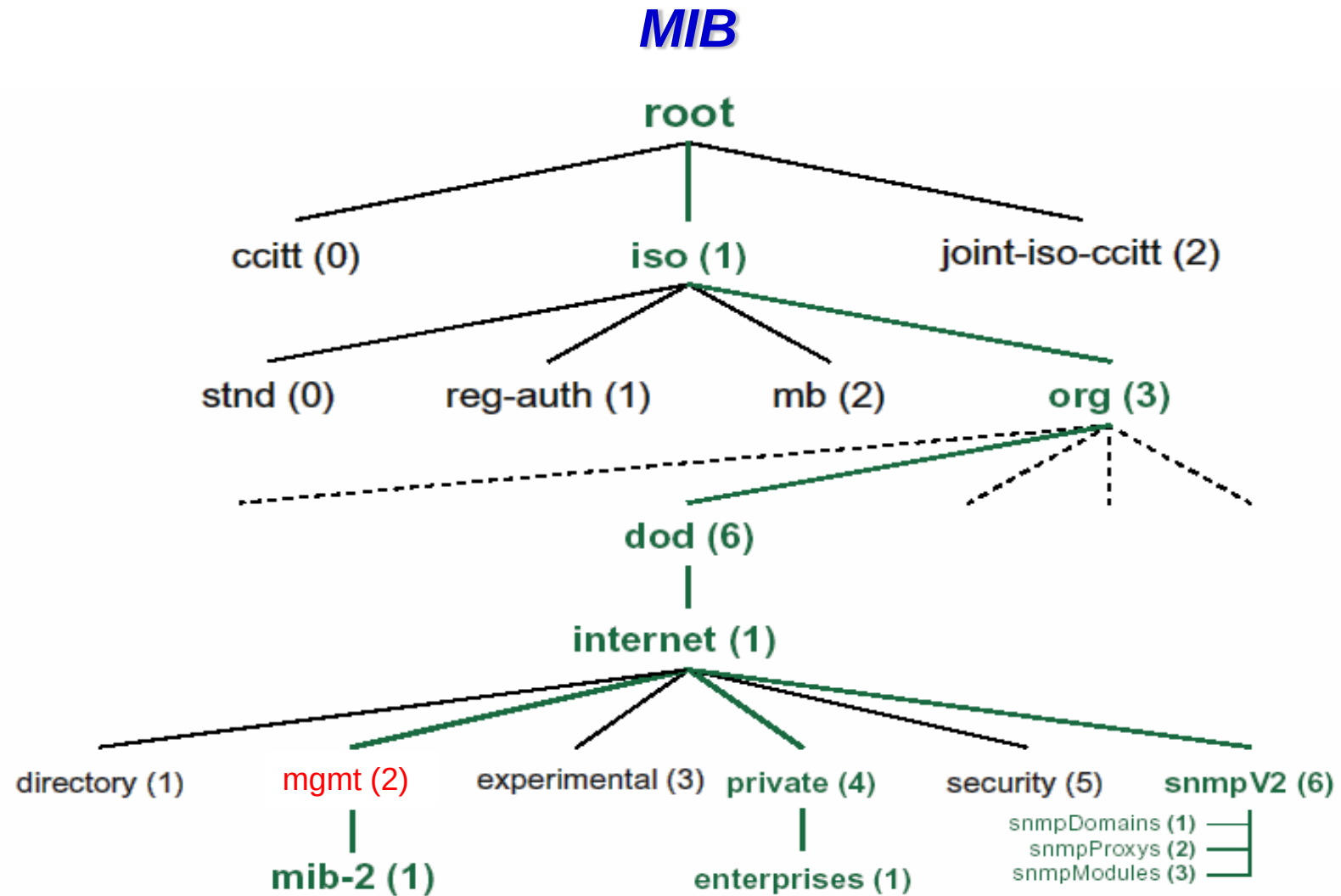
AnotherType ::= CHOICE {
 first INTEGER,
 second BIT STRING,
 third OCTET STRING,
 fourth BOOLEAN }

valueRef-1 S1 ::= { 0, 1, 15, 7 } **-- when AnotherType is integer**

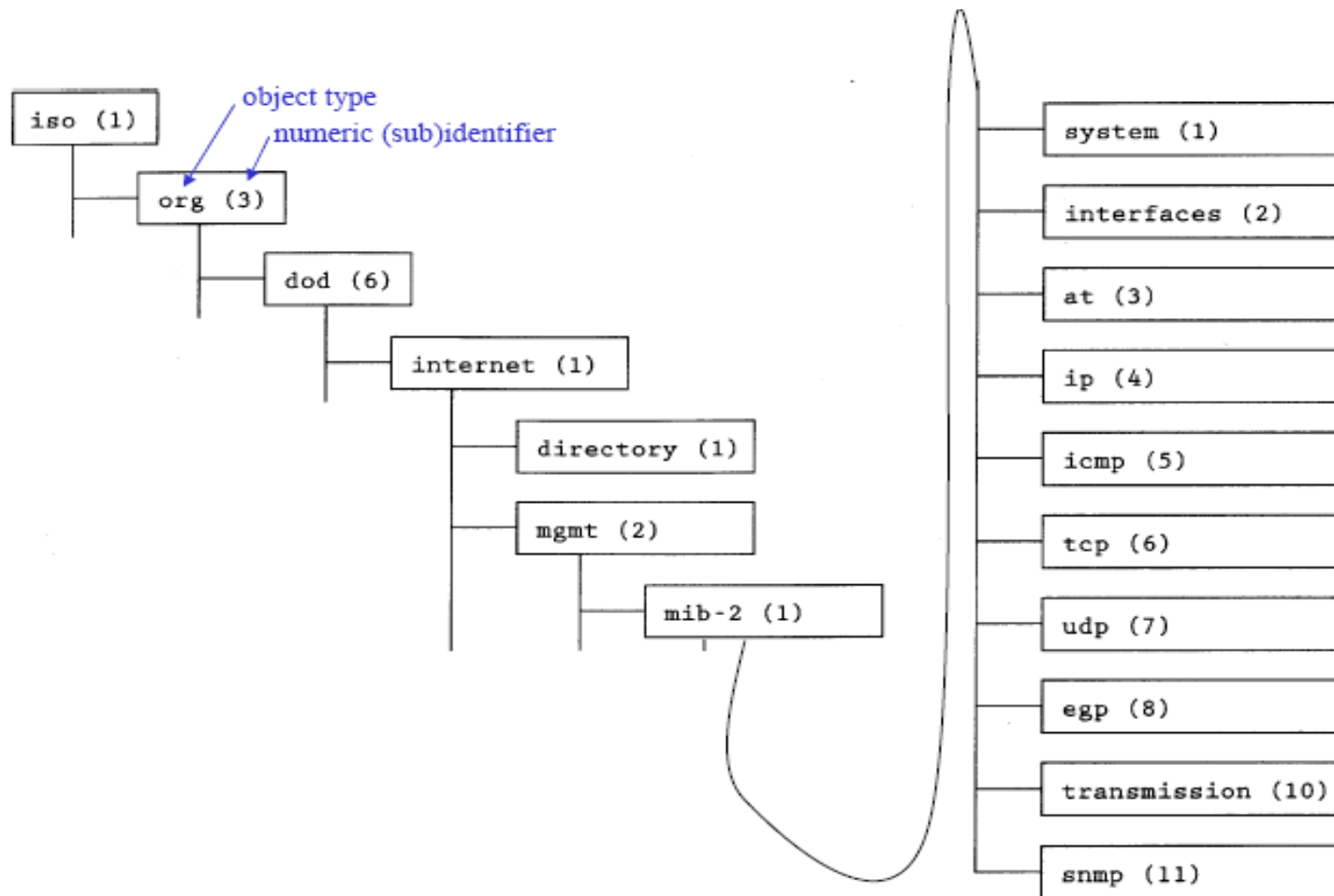
valueRef-2 S1 ::= { first : 1, third : '0F'H } **-- when AnotherType is choice**

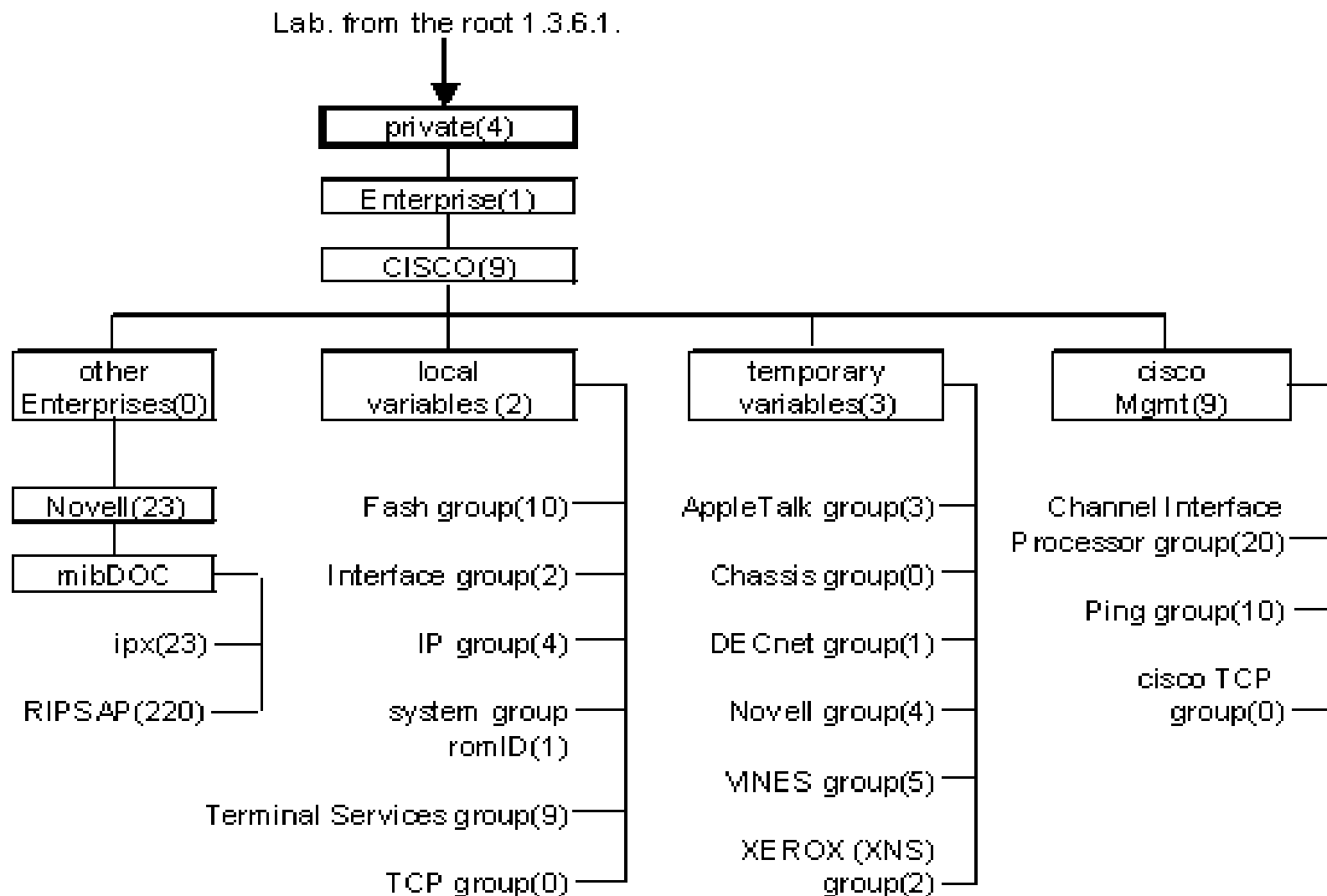
ASN.1 abstract syntax**OBJECT IDENTIFIER**

- **Worldwide unique identifier of any type of objects**
 - **OID contains of a series of Object ID components in curly brackets**
 - **A component may be:**
 - a name (only for names defined in ITU-T Rec. X.660)**
 - an integer number**
 - a name and a number**

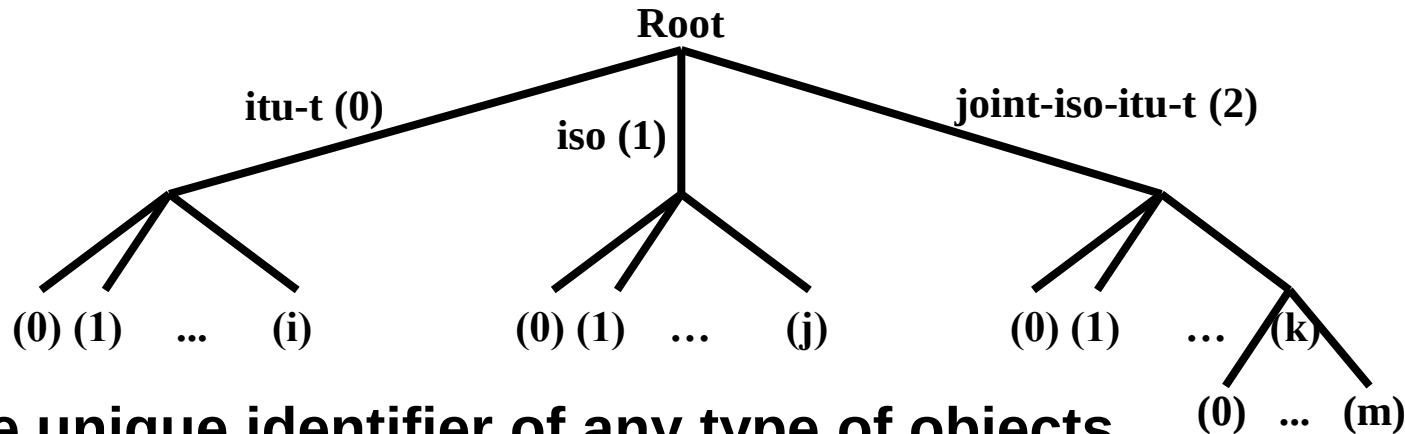


MIB-2





Cisco MIB rész egy eleme: 1.3.6.1.4.1.9.2.1.46 bufferFail

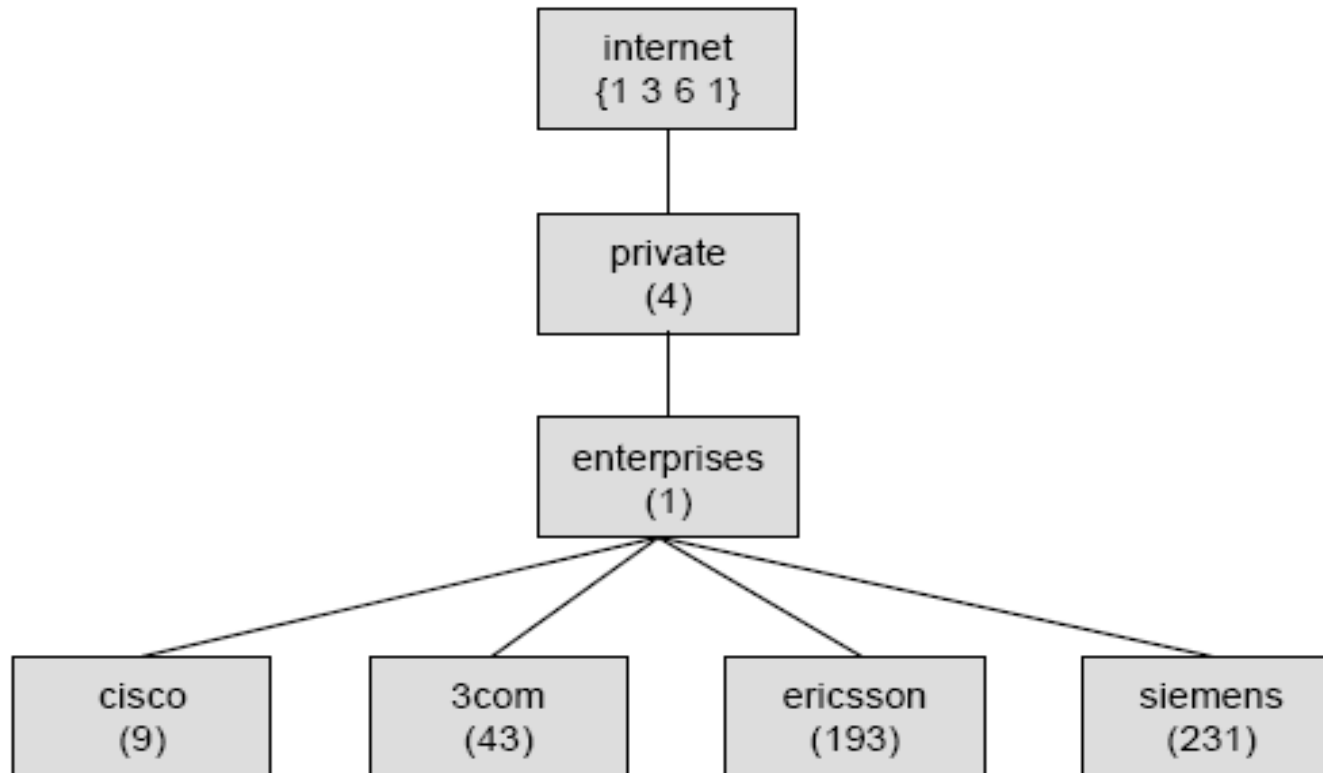
ASN.1 abstract syntax**OBJECT IDENTIFIER**

- **Worldwide unique identifier of any type of objects**
 - **OID contains of a series of Object ID components in curly brackets**
 - **A component may be:**
 - a name (only for names defined in ITU-T Rec. X.660)**
 - an integer number**
 - a name and a number**

ftam OBJECT IDENTIFIER ::= { iso standard 8571 }

**ericsson OBJECT IDENTIFIER ::= { itu-t(0) identified-organization(4)
etsi(0) reserved(127) etsi-identified-organization(0) ericsson(5) }**

Some examples for manufacturers



ASN.1 abstract syntax

OBJECT IDENTIFIER (cont)

● Useful object identifier values

– Names defined in ITU-T Rec. X.660:

itu-t(0)	question (1)
	administration (2)
	network-operator (3)
	identified-organization(4)
iso(1)	standard (0)
	member-body(2)
	identified-organization (3)
joint-iso-itu-t(2)	

– Known Ericsson identifiers:

```
{iso member-body bsi(826) disc(0) ericsson(1249)}
{iso identified-organization dod(6) internet(1) private (4) enterprise (1)
  ericsson-Business-Communication(193) }
{itu-t identified-organization etsi(0) reserved(127)
  etsi-identified-organization(0) ericsson(5) }
```

ASN.1 abstract syntax

Object descriptor

- Textually identifies an object

- Not a free text but a textual identifier allocated by an Authority
- Not unambiguous (unlike the object identifier)
- Its type definition (a graphical string with changed type ID):

ObjectDescriptor ::= [UNIVERSAL 7] IMPLICIT GraphicString

ASN.1 abstract syntax

Restricted character string types

- Restricted character string types

- BMPString | GeneralString | GraphicString | IA5String | ISO646String | NumericString | PrintableString | TeletexString | T61String | UniversalString | UTF8String | VideotexString | VisibleString

- NumericString has the object identifier and object descriptor:

{ joint-iso-itu-t asn1(1) specification(0) characterStrings(1) numericString(0) }

and

"NumericString character abstract syntax"

- PrintableString has the object identifier and object descriptor:

{ joint-iso-itu-t asn1(1) specification(0) characterStrings(1) printableString(1) }

and

"PrintableString character abstract syntax"

- See more details at coding!

ASN.1 abstract syntax

Character string types

UNIVERSAL

- 12 UTF8String = UniversalString
- 18 NumericString -> 0..9 (space)
- 19 PrintableString -> A..Z a..z 0..9 ' () + , - . / : = ? (space)
- 20 TeletexString (T61String) -> ITU-T Rec. T.61
- 21 VideotexString -> ITU-T Rec. T.100 & T.101
- 22 IA5String = UniversalString (BasicLatin) (including control characters!)
- 25 GraphicString -> All G sets + SPACE
- 26 VisibleString (ISO646String) -> ISO/IEC 646:1991characters
- 27 GeneralString -> All G and C sets + SPACE + DELETE
- 28 UniversalString -> ISO/IEC 10646-1 (all characters in the Universe)
(character space: 128 groups/256 plane/ 256 row/256 cell = 2 147 483 648)
- 30 BMPString = UniversalString (Bmp) (Basic Multilingual Plane)
(all characters in all living languages; can be encoded on 16 bits!)

Note1: further details see in Table 3/X.680

Note2: A "CharacterStringList" notation can also be used for UniversalString, UTF8String, BMPString or IA5String -> {0,0,7,15}; for IA5String only also -> {0,7}

ASN.1 abstract syntax

Time types

● GeneralizedTime

- Its type definition (a visible string with changed type ID):
`GeneralizedTime ::= [UNIVERSAL 24] IMPLICIT VisibleString`
- Contains calendar date, time of day (any precision) and local time differential factor according to **ISO 8601**
- Formats : `YYYYMMDDhhmm[ss]("Z") | ("+|-"hhmm) | empty
 local time: "20010921214525.3" (2001. Sept. 21, 21h 45m 25.3 s)
 coordinated universal time (GMT): "20010921214525.3Z"
 local time, 5 hours retarded from GMT: "20010921214525.3-0500"`

● Universal time

- Its type definition (a visible string with changed type ID):
`UTCTime ::= [UNIVERSAL 23] IMPLICIT VisibleString`
- Contains calendar date, time (precision of 1 min. or 1 sec.) and local time differential factor from coordinated universal time
- Formats: `YYMMDDhhmm[ss]("Z") | ("+|-"hhmm)
 local time, 5 hours retarded from UTC: "010921214525.3-0500"
 coordinated universal time (UTC): "010921214525.3Z"`

ASN.1 abstract syntax

CONTENTS

1. Basic conventions, ASN.1 module definition

2. Built-in ASN.1 types

NULL, BOOLEAN, INTEGER, OCTET STRING, BIT STRING, SEQUENCE (OF), SET (OF), CHOICE, Character string types, REAL, ANY, EMBEDDED PDV

3. Tagging

Why we need?, types of tags, IMPLICIT, EXPLICIT, AUTOMATIC tagging, tagging rules

ASN.1 abstract syntax

Tagging example -3

● The problem (again):

Transmitter

```
SET {
  toBePaid      INTEGER,
  haveMoney     BOOLEAN,
  toBeGet       INTEGER
}
```

sent:
INTEGER, BOOLEAN,
INTEGER

Receiver

was the order **toBePaid**,
haveMoney and **toBeGet**
OR
toBeGet, **haveMoney** and
toBePaid?

● Solution: additional label

```
SET {
  toBePaid      [0] INTEGER,
  haveMoney     BOOLEAN,
  toBeGet       INTEGER
}
```

sent:
INTEGER, BOOLEAN,
0+INTEGER

the order was **toBeGet**,
haveMoney and **toBePaid**
!

ASN.1 abstract syntax

Tagging - 1

- Tagging: a **transformation** creating a new type from the old one
 - Commonly used: to identify a **new** (application or implementation specific) type, to make type components of a constructed type **distinct**
- | | | |
|---|--|---|
| <pre> A ::= SEQUENCE { first INTEGER ← OPTIONAL, second INTEGER ← OPTIONAL } B ::= SEQUENCE { first [0] INTEGER ← OPTIONAL, second INTEGER ← OPTIONAL }</pre> | | <p>Types not distinct</p> <p>Distinct types</p> |
|---|--|---|
- Tag classes:
 - UNIVERSAL: used by the ASN.1 standard only
--> built-in ASN.1 types
 - APPLICATION: user defined types in application standards
 - PRIVATE: vendor-specific user defined type
 - context-specific: default if no tag class defined
--> most commonly used to make type components distinct
 - only the use of UNIVERSAL is strictly limited

ASN.1 abstract syntax

Tagging - 1

● Tagging mechanisms: **implicit, explicit, automatic**

- Default for the module defined in the module header

- In IMPLICIT tagging environment:

```
C ::= SEQUENCE { first [0] INTEGER OPTIONAL,
                  second [1] EXPLICIT INTEGER OPTIONAL }
```

implicitly tagged explicitly tagged

- In EXPLICIT tagging environment :

```
D ::= SEQUENCE { first [0] INTEGER OPTIONAL,
                  second [1] IMPLICIT INTEGER OPTIONAL }
```

explicitly tagged implicitly tagged

- If default is AUTOMATIC: components of all SEQUENCES, SETs and CHOICES are **tagged** in **implicit** environment with **context-specific** tags before encoding by the tool, **except** types containing even a single hand-written tag in the ASN.1 spec.

in ASN.1

```
E ::= SEQUENCE { first INTEGER OPTIONAL,
                  second OCTET STRING OPTIONAL }
```

tagged

before

encoding

```
E ::= SEQUENCE { first [0] INTEGER OPTIONAL,
                  second [1] OCTET STRING OPTIONAL }
```

ASN.1 abstract syntax

- UNIVERSAL 0 *Reserved for use by the encoding rules*
- UNIVERSAL 1 BOOLEAN
- UNIVERSAL 2 INTEGER
- UNIVERSAL 3 BIT STRING
- UNIVERSAL 4 OCTET STRING
- UNIVERSAL 5 NULL
- UNIVERSAL 6 OBJECT IDENTIFIER
- UNIVERSAL 7 ObjectDescriptor
- UNIVERSAL 8 EXTERNAL | INSTANCE OF
- UNIVERSAL 9 REAL
- UNIVERSAL 10 ENUMERATED
- UNIVERSAL 11 EMBEDDED PDV

UNIVERSAL class tags

- UNIVERSAL 12 UTF8String
- UNIVERSAL 13 Relative OID
- UNIVERSAL 14-15 *Reserved*
- UNIVERSAL 16 SEQUENCE | SEQUENCE OF
- UNIVERSAL 17 SET | SET OF
- UNIVERSAL 18-22 Character string types
- UNIVERSAL 23 UTCTime
- UNIVERSAL 24 GeneralizedTime
- UNIVERSAL 25-28 Character string types
- UNIVERSAL 29 CHARACTER STRING
- UNIVERSAL 30 BMPString
- UNIVERSAL 31-... *Reserved*

ASN.1 abstract syntax

Character string types

UNIVERSAL

- 12 UTF8String = UniversalString
- 18 NumericString -> 0..9 (space)
- 19 PrintableString -> A..Z a..z 0..9 ' () + , - . / : = ? (space)
- 20 TeletexString (T61String) -> ITU-T Rec. T.61
- 21 VideotexString -> ITU-T Rec. T.100 & T.101
- 22 IA5String = UniversalString (BasicLatin) (including control characters!)
- 25 GraphicString -> All G sets + SPACE
- 26 VisibleString (ISO646String) -> ISO/IEC 646:1991characters
- 27 GeneralString -> All G and C sets + SPACE + DELETE
- 28 UniversalString -> ISO/IEC 10646-1 (all characters in the Universe)
(character space: 128 groups/256 plane/ 256 row/256 cell = 2 147 483 648)
- 30 BMPString = UniversalString (Bmp) (Basic Multilingual Plane)
(all characters in all living languages; can be encoded on 16 bits!)

Note1: further details see in Table 3/X.680

Note2: A "CharacterStringList" notation can also be used for UniversalString, UTF8String, BMPString or IA5String -> {0,0,7,15}; for IA5String only also -> {0,7}

ASN.1 abstract syntax

Tagging rules

- The tag applied to a CHOICE or an open type always shall be **explicit**

```
F ::= SEQUENCE { first [0] INTEGER OPTIONAL,
                  second [1] EXPLICIT CHOICE { alt1 OCTET STRING } }
```

- SEQUENCE: tagging rules for COMPONENTS OF:
 - Automatic tagging applied only if **NONE** of the elements in the referencing type (containing the COMPONENTS OF notation) is tagged
 - **Decision** on tagging is made **BEFORE** the COMPONENTS OF transformation
 - Automatic **tagging** is carried out **AFTER** the transformation
 - During automatic tagging tags within the referenced SEQUENCE are **suppressed** (i.e. once automatic tagging is decided, it is done independently of tags within the parent type)

ASN.1 abstract syntax

Example (fields are separated by white-space)

Module-Name { *<optional object identifier>* }

DEFINITIONS

{ EXPLICIT TAGS -- or
IMPLICIT TAGS -- or
AUTOMATIC TAGS }

EXTENSIBILITY IMPLIED

::=

BEGIN

EXPORTS *<comma separated list>* ;

IMPORTS *<comma separated list>* FROM *<module identifier>* } -- optional
 <comma separated list> FROM *<module identifier>* ; }

<assignments>

-- definitions in the module body

END

Module definition

-- name is mandatory

-- mandatory keyword

optional (see later)

-- optional

-- mandatory keyword

-- mandatory keyword

-- optional

ASN.1 abstract syntax

CONTENTS

1. Basic conventions, ASN.1 module definition

2. Built-in ASN.1 types

NULL, BOOLEAN, INTEGER, OCTET STRING, BIT STRING, SEQUENCE (OF), SET (OF), CHOICE, Character string types, REAL, ANY, EMBEDDED PDV

3. Tagging

Why we need?, types of tags, IMPLICIT, EXPLICIT, AUTOMATIC tagging, tagging rules

4. Subtyping

General, single value, value range, size constraint, type constraint, permitted alphabet, contained subtype, inner subtyping

ASN.1 abstract syntax

Subtyping: features

- Creates a new type from the parent type!

Parent ::= INTEGER (0..31) NewOne ::= Parent (0..15)

- May be an expression using set arithmetics

– INTERSECTION (^), UNION (|) & EXCEPT

Expr1 ::= INTEGER (ALL EXCEPT (0..15)) Expr2 ::= INTEGER (0..1|4..6)

- May contain extension markers (...) and exceptions(!)

Values ::= INTEGER (0..15, ... !1) Values ::= INTEGER (0..15, ..., 0..31 !1)

- Very often parameterized

ThisIsIt {INTEGER:maxIterations, INTEGER:maxNumber} ::=
SEQUENCE (SIZE (0.. maxIterations)) OF INTEGER (0.. maxNumber)

ASN.1 abstract syntax

Subtyping - 1

- **Single value constraint** (applicability)

ASN.1 abstract syntax

Subtyping - 2

● Size constraint (applicability)

- applies to BIT STRING, OCTET STRING, SET OF, SEQUENCE OF and character string types (including CHARACTER STRING)

String-sequence ::= SEQUENCE (SIZE(1..5)) OF IA5String (SIZE(10))

● Contained sub-type constraint (applicability)

- uses another ASN.1 type(s) to create the subtype

Subtype1 ::= INTEGER (0..63) Subtype2 ::= INTEGER (16..31)

NewSubtype ::= INTEGER (Subtype1 EXCEPT Subtype2)

● Selection type

- Applicable to CHOICE only
- Restricts type to a **single choice** (in the standard -> type notation)
- This single choice shall be referenced in value notations!

ParentChoice ::= CHOICE { otherCase INTEGER, thisCase BIT STRING }

NewChoice ::= thisCase < ParentChoice

myValue NewChoice ::= '01010101'B

ASN.1 abstract syntax

Subtyping - 3

● Inner subtyping (applicability)

- The exception: does NOT create a new type but **restricts values** allowed to be used => **tagged and coded** exactly as the **parent type** !
- Both allowed value range and presence may be constrained

```
Parent-type ::= SEQUENCE { flag      BOOLEAN      OPTIONAL,
                           complex  ParentNew     OPTIONAL,
                           value    INTEGER       OPTIONAL,
                           values   SEQUENCE OF INTEGER }
```

```
ParentNew ::= SEQUENCE { number  INTEGER (0..32)  OPTIONAL,
                           flag2  BOOLEAN        OPTIONAL }
```

```
Born-type ::= Parent-type ( WITH COMPONENTS {
                           flag      ABSENT,
                           complex  (BornNew) PRESENT,
                           value    OPTIONAL,
                           values   (SIZE(0..5))
                                   (WITH COMPONENT ( 0..63 ) ) } )
```

```
BornNew ::= ParentNew ( WITH COMPONENTS { number ( 0 ..7 ) } )
```

ASN.1 abstract syntax

Subtyping - 4

● Inner subtyping - specification for SET and SEQUENCE (cont)

Parent-type	::=	SEQUENCE {	flag	BOOLEAN	OPTIONAL,
			complex	Parent2	OPTIONAL,
			value	INTEGER	OPTIONAL,
			values	SEQUENCE OF INTEGER	}

– Full specification

Born-1 ::= Parent-type (WITH COMPONENTS {
 mandatory  **flag** **,**
 “complex” shall be ABSENT 
 constrained & remains optional  **value (0 .. 65535) OPTIONAL,**
 mandatory  **values PRESENT })**

- **Partial specification**

Born-2 ::= Parent-type (WITH COMPONENTS {
 keyword for partial spec. **→ ... ,**
complex” remain OPTIONAL **→**
unconstrained & remains optional **→ value (0 .. 65535) ,**
mandatory **→ values PRESENT }**)

ASN.1 abstract syntax

Subtyping - 5

● Inner subtyping - specification for CHOICE (cont)

Parent-type ::= CHOICE {	flag	BOOLEAN,
	complex	Parent2,
	value	INTEGER,
Full specification	values	SEQUENCE OF INTEGER }

– Full specification

Born-3 ::= Parent-type (WITH COMPONENTS {
 may be chosen —————→ **flag** ,
ex” & “values” shall never be chosen —————→
 constrained & may be chosen —————→ **value** **(0 .. 65535)** **})**

Born-4 ::= Parent-type (WITH COMPONENTS {
 the only choice $\longrightarrow$ values PRESENT })

– Partial specification

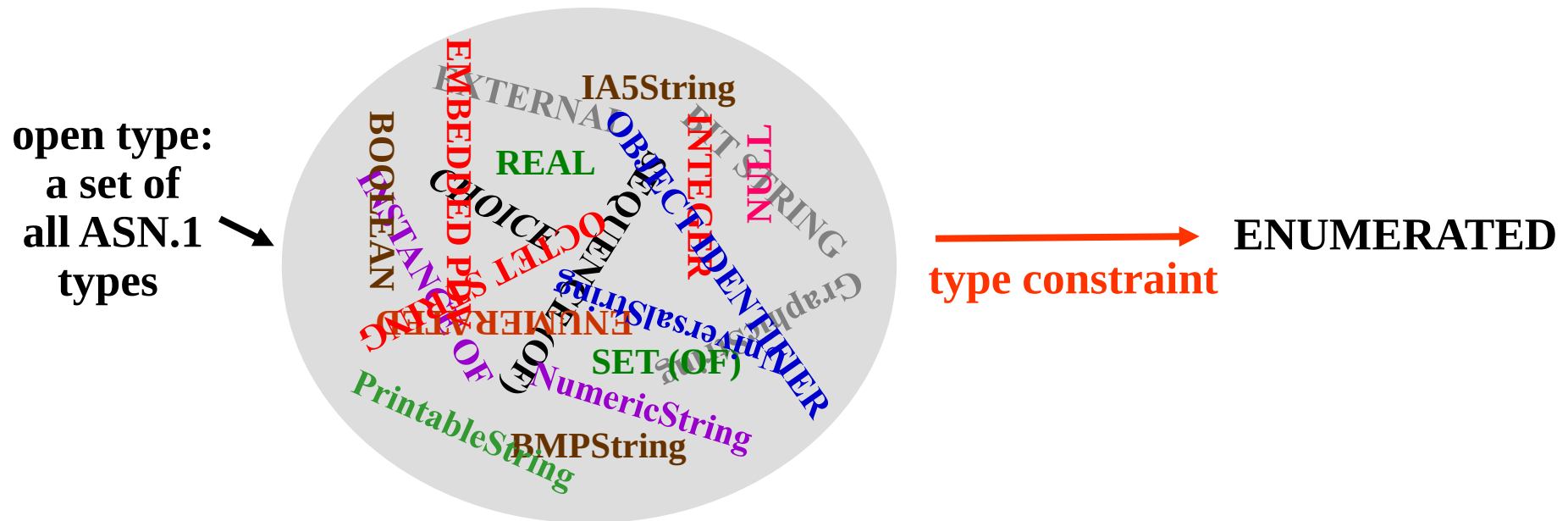
Born-5 ::= Parent-type (WITH COMPONENTS { ..., value PRESENT })

Born-6 ::= Parent-type (WITH COMPONENTS { ..., value **ABSENT** })

ASN.1 abstract syntax

Subtyping - 6

- Type Constraint (applicability)
 - Constrains an open type to an ASN.1 type



Example

notation for an open type type constraint

MY-CLASS-2.&Argument (INTEGER)

ASN.1 abstract syntax

Applicability of subtypes

	Bit String	Boolean	Choice	Embedded-pdv	Enumerated	External	Instance-of	Integer	Null	Object class field type	Object Identifier	Octet String	open type	Real	Relative ObjectIdentifier	Restricted Character String Types	Sequence	Sequence-of	Set	Set-of	Unrestricted Character String Type
Single Value	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes	No	Yes	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Contained Subtype	Yes	Yes	Yes	No	Yes	No	Yes	Yes	Yes	Yes	Yes	Yes	No	Yes	Yes	Yes	Yes	Yes	Yes	Yes	No
Value Range	No	No	No	No	No	No	No	Yes	No	No	No	No	No	Yes	No	Yes	No	No	No	No	No
Size Constraint	Yes	No	No	No	No	No	No	No	No	No	No	Yes	No	No	No	Yes	No	Yes	No	Yes	Yes
Permitted Alphabet	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	Yes	No	No	No	No	No
Type constraint	No	No	No	No	No	No	No	No	No	No	No	No	Yes	No	No	No	No	No	No	No	No
Inner Subtyping	No	No	Yes	Yes	No	Yes	Yes	No	No	No	No	No	No	Yes	No	No	Yes	Yes	Yes	Yes	Yes
Pattern constraint	No	No	No	No	No	No	No	No	No	No	No	No	No	No	No	Yes	No	No	No	No	No

ASN.1 abstract syntax

Content constraint

- Restricts the content of the parent type
 - May apply to BIT STRING & OCTET STRING only
 - The octet- or bit string contains an encoded abstract value; the **encoding** rule always defined; specifying the **abstract value** is not mandatory
 - When used this may be the only type constraint applied

ConstrainedType3 ::= OCTET STRING
 (CONTAINING EmbeddedType
 ENCODED BY { joint-iso-itu-t asn (1) basic-encoding (1) })

ConstrainedType4 ::= OCTET STRING
 (CONTAINING EmbeddedType
 -- encoded by the same rule as the whole module--)

ConstrainedType5 ::= OCTET STRING ~~(SIZE (0 .. maxLength)~~
 (ENCODED BY { joint-iso-itu-t asn (1) basic-encoding (1) }
 -- practically a comment describes the abstract value
 -- to be encoded into the parent type --)

--> **invalid** as no another constraint may be applied to a content constrained type

ASN.1 abstract syntax

CONTENTS

1. Basic conventions

2. Built-in ASN.1 types

NULL, BOOLEAN, INTEGER, OCTET STRING, BIT STRING, SEQUENCE (OF), SET (OF), CHOICE, Character string types, REAL, ANY, EMBEDDED PDV

3. Tagging

Why we need?, types of tags, IMPLICIT, EXPLICIT, AUTOMATIC tagging, tagging rules

4. Subtyping

General, single value, value range, size constraint, type constraint, permitted alphabet, contained subtype, inner subtyping

5. Extensibility

ASN.1 model of extensions, extension marker, exception identifier, extensibility rules, version brackets

ASN.1 abstract syntax

Extensibility - general

- The only legal way to add elements to defined types LATER
- Any unknown element at an insertion point **shall NOT be treated as an error by the ASN.1 decoder!!!**
- Extensibility implied
 - **implicitly** for all extensible types by the [module header](#)
 - **explicitly** including an extension marker at the insertion point
- What can be extended
 - TYPES: CHOICE, SEQUENCE, SET, ENUMERATED
 - Type CONSTRAINTS (see later) : single value, value range, size constraint and permitted alphabet

ASN.1 abstract syntax

Exception handling

- Instructs the **APPLICATION!**, how to handle
 - type constraint violation (see later)
 - unknown elements at an extension insertion point
- Consist of:
 - an exception identifier(!) and
 - an exception handling rule

optional Type:value

Examples

A ::= SEQUENCE (SIZE (0 .. 15 !1)) OF INTEGER (0 .. 65535 !2)

.....

INTEGER is assumed

-- Error handling rules: 1 - ignore additional elements ; 2 - relay value

B ::= SEQUENCE (a A,
 b B,
 ... ! IA5String:"not comprehended element received")

C ::= SEQUENCE (SIZE (0 .. 15 !)) OF INTEGER

--> comment in ASN.1 or specified in the procedures description or
--> take implementation-dependent action

ASN.1 abstract syntax

Extensibility rules -1

● ASN.1 extensibility rules apply

- Single value, value range, size constraint:

Original X.680(12/97): ALL (root and additional) elements are used in set arithmetics:

`INTEGER ( (0..15, ..., 32..63 ) | (16..31, ... )`

*--> range 0..63, type extensibility is **not** defined clearly!*

Corrigendum 1 (06/99): in set arithmetic **ONLY** the root values of components are used => extensible **ONLY** if there is an ellipsis at the outmost level

`INTEGER ( ( 0..15, ..., 32..63 ) | (16..31, ... )` *--> inextensible, range 0..31*

`INTEGER ( ( 0..31, ..., 32..63 ) ^ ( 0..63, ... )` *--> inextensible, range 0..31*

`INTEGER ( ( 0..15 ) | ( 16..31), ... )` *--> extensible type, range 0..31*

This is the only LEGAL understanding for ALL ASN.1'97 compliant specs.!

BUT the X.680 (12/97) rule is followed by the OSS syntax checker v5.0.4, so correct behaviour always to be checked when a 3rd party tool is used!

ASN.1 abstract syntax

Extensibility rules -2

● ASN.1 extensibility rules (cont.)

- If an extensible type is further constrained, the new type does **NOT** inherit extensibility (for extensibility ellipsis shall explicitly be included)

A ::= INTEGER (0..63, ...) --> *extensible (parent) type*

B ::= A (0..31) --> *inextensible, range 0..31*

C ::= A (0..31, ...) --> *extensible, range 0..31*

D ::= A --> *extensible, range 0..63*

- Constrained subtype: **ONLY** root values are used => the new type does **NOT** inherit extensibility

E ::= INTEGER (A) --> *inextensible, range 0..63*

F ::= INTEGER (A, ...) --> *extensible, range 0..63*

- Inner subtyping: has no effect (the new type is **extensible** if and only if the parent type is extensible)

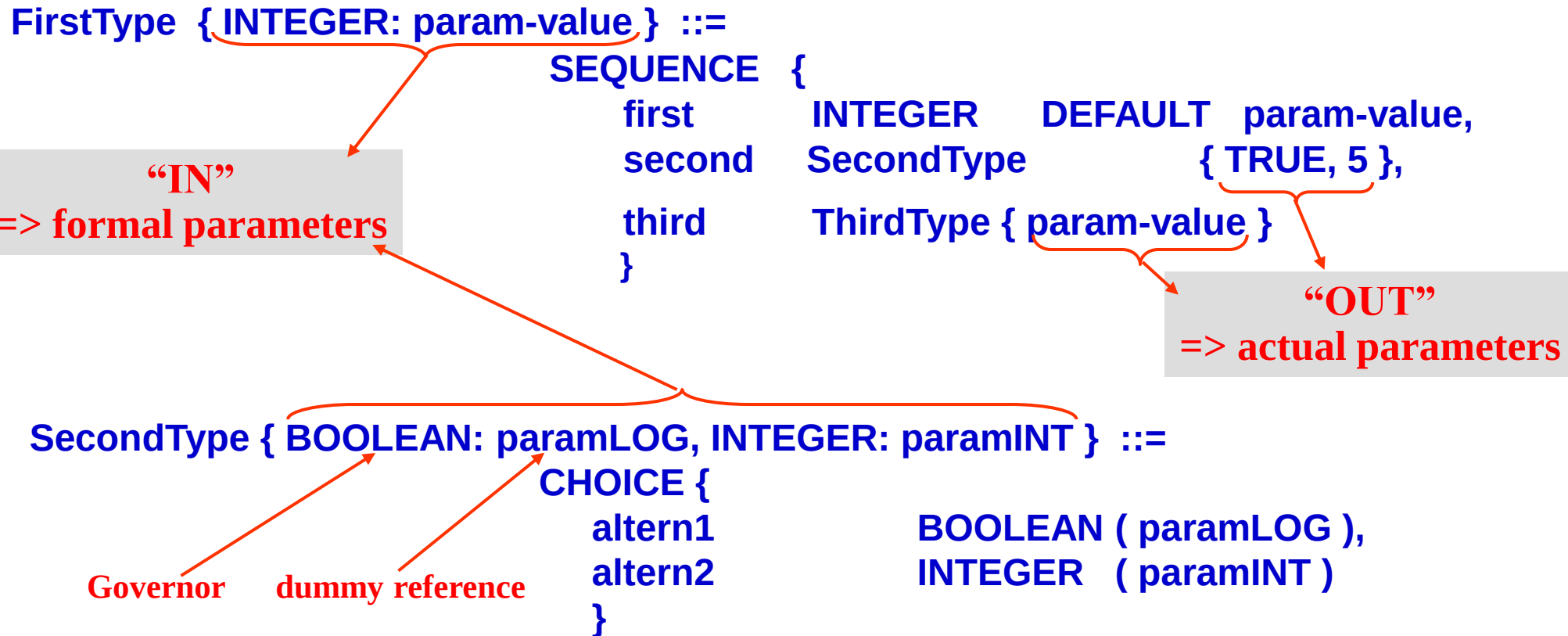
Parent-type ::= SEQUENCE { value INTEGER OPTIONAL,
values SEQUENCE OF INTEGER, ... }

Born-type ::= Parent-type (WITH COMPONENTS { value PRESENT })
=> Born-type **inherits** extensibility of Parent-type

ASN.1 abstract syntax

Parameterization

● Parameterization - general



ASN.1 abstract syntax

Parameterization

- **Parameterization of type notations**

- **Formal parameter may be:**

```

type:      { DummyType },
value:     { Type: dummyvalue }           or
           { DummyType: dummyvalue }
valueset:  { Type: Dummyvalueset }       or
           { DummyType: Dummyvalueset }

```

```
Sample1 { Par-Type } ::= CHOICE { altern1 BOOLEAN, altern2 Par-Type }
```

```
Sample2 { INTEGER: par-value } ::= SEQUENCE {  
first      INTEGER DEFAULT par-value }
```

```
Sample3 { ParType, ParType: parValue } ::= SEQUENCE {  

seethis ParType ( parValue ) }
```

Names { IA5String: ExtraNames } IA5String ::= { “Johny” | “Freddy” | ExtraNames }

=> **ToBelInvited** **IA5String** ::= { **Names** { { “Jill” | “Mary” | “Sue” } } }

for the actual parameter

for value set notation

ASN.1 abstract syntax

Parameterization

● Rules of parameterization - 1

- Each dummy reference shall be used

Sample1 { Par-Type } ::= CHOICE { altern1 BOOLEAN, altern2 INTEGER } --> invalid

- Defined type/value shall not be just the dummy reference

Sample2 { Par-Type } ::= ~~Par-Type~~ --> invalid

- If the formal parameter is a type or value set, it shall not be passed as a tagged type to a circular reference

Sample3 { Par-Type } ::= SEQUENCE { a INTEGER, b Sample3 { ~~[0]~~ Par-Type } } --> invalid

- A dummy type reference shall not refer to a dummy reference, which has a governor

Sample4 { INTEGER: Par-valueSet, ~~Par-valueSet: parvalue~~ } ::= -- whatever type assignment follows -- --> invalid

Sample5 { Par-Type , Par-Type: parvalue } ::= -- whatever type assignment follows -- --> valid

ASN.1 abstract syntax

Parameterization

● Rules of parameterization - 2

- Circular reference (direct or indirect !) is not allowed **except** for dummy **types** if the reference is OPTIONAL or a CHOICE, where a non-circular alternative exists

Sample6 { INTEGER: parValue } ::=
 SEQUENCE {
 a INTEGER,
 b ~~Sample6 { parValue } OPTIONAL~~ } --> invalid

Sample7 { ParType } ::=
 SEQUENCE {
 a INTEGER,
 b Sample7 { ParType } } --> invalid

Sample8 { ParType } ::=
 SEQUENCE {
 a INTEGER,
 b Sample8 { ParType } OPTIONAL } --> valid

Sample9 { ParType } ::=
 SEQUENCE {
 a INTEGER,
 b MyChoice { ParType } }

MyChoice {ParMyType} ::=
 CHOICE {
 first INTEGER,
 my Sample7 { ParType } } --> valid